Application No. 10/564,485 Amendment dated August 11, 2010 Reply to Office Action of May 11, 2010

## AMENDMENTS TO THE CLAIMS

Docket No.: 13111-00029-US1

This Listing of Claims will replace all prior versions and listings of claims in this application.

## Listing of Claims:

- (Currently Amended) An aqueous polymer dispersion Pd) obtained by freeradical polymerization of a monomer mixture M) comprising
  - a) at least one  $\alpha,\beta$ -ethylenically unsaturated amide-group-containing compound of the formula I



where

 $R^2$  is a group of the formula  $CH_3=CR^4-CH_2=CH_-$  and  $R^1$  and  $R^3$ , independently of one another, are H, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl, or  $R^1$  and  $R^3$ , together with the amide group to which they are bonded, are a lactam with 5 to 8 ring atoms, and  $R^4$  is H or a hydrocarbon,

- b) at least one free-radically polymerizable crosslinking compound with at least two  $\alpha,\beta$ -ethylenically unsaturated double bonds per molecule,
- at least one compound with a free-radically polymerizable α,β-ethylenically unsaturated double bond and at least one cationogenic and/or cationic group per molecule,

in an aqueous medium in the presence of at least one polymeric anionic dispersant D).

2. (Original) A polymer dispersion as claimed in claim 1, where the monomer mixture M) additionally comprises at least one further monomer d) which is chosen from esters of α,β-ethylenically unsaturated mono- and dicarboxylic acids with C<sub>1</sub>-C<sub>30</sub>-alkanols and C<sub>1</sub>-C<sub>30</sub>-alkanediols, amides of α,β-ethylenically unsaturated mono- and dicarboxylic acids with C<sub>2</sub>-C<sub>30</sub>-amino alcohols which have a primary or secondary amino group, primary amides of

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 $\alpha$ , $\beta$ -ethylenically unsaturated monocarboxylic acids and N-alkyl and N,N-dialkyl derivatives thereof, esters of vinyl alcohol and allyl alcohol with  $C_1$ - $C_{30}$ -monocarboxylic acids, vinyl ethers, vinyl aromatics, vinyl halides, vinylidene halides,  $C_1$ - $C_8$ -monoolefins, nonaromatic hydrocarbons with at least two conjugated double bonds and mixtures thereof.

- 3. (Previously presented) A polymer dispersion as claimed in claim 1, where the monomer mixture M) additionally comprises at least one compound e) with a free-radically polymerizable α,β-ethylenically unsaturated double bond and an anionogenic and/or anionic group per molecule, with the proviso that the molar proportion of anionogenic and anionic groups in component e) is lower than the molar proportion of cationogenic and cationic groups in component c).
- (Previously presented) A polymer dispersion as claimed in claim 1, where component a) is chosen from N-vinylamides of saturated monocarboxylic acids, N-vinyllactams and mixtures thereof.
- 5. (Previously presented) A polymer dispersion as claimed in claim 1, where component c) is chosen from esters of α,β-ethylenically unsaturated mono- and dicarboxylic acids with amino alcohols, which may be mono- or dialkylated on the amine nitrogen, amides of α,β-ethylenically unsaturated mono- and dicarboxylic acids with diamines which have at least one primary or secondary amino group, N,N-diallylamine, N,N-diallyl-N-alkylamines and derivatives thereof, vinyl- and allyl-substituted nitrogen heterocycles, vinyl- and allyl-substituted heteroaromatic compounds and mixtures thereof.
- (Original) A polymer dispersion as claimed in claim 5, where component c) comprises vinylimidazole or an acid salt or a quaternization product thereof.
- (Original) A polymer dispersion as claimed in claim 3, where component e) is chosen from monoethylenically unsaturated carboxylic acids, sulfonic acids, phosphonic acids and mixtures thereof.

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(Previously presented) A polymer dispersion as claimed in claim 1, where the polymeric
anionic dispersant D) is chosen from polymers which comprise, in copolymerized form, at
least one monomer chosen from acrylic acid, methacrylic acid, maleic acid and mixtures
thereof.

- (Previously presented) A polymer dispersion as claimed in claim 1, where component a) is
  used in an amount of from 10 to 90% by weight, based on the total weight of component a)
  and the dispersant D).
- (Previously presented) A polymer dispersion as claimed in claim 1, where the dispersant
   D) is used in an amount of from 10 to 90% by weight, based on the total weight of component a) and the dispersant D).
- (Previously presented) A polymer dispersion as claimed in claim 1, where component b) is
  used in an amount of from 0.0005 to 5% by weight, based on the weight of component a).
- (Previously presented) A polymer dispersion as claimed in claim 1, where component c) is
  used in an amount of from 1 to 40% by weight, based on the total weight of component a)
  and the dispersant D).
- (Previously presented) A polymer dispersion as claimed in claim 1, where the polymerization additionally takes place in the presence of at least one regulator.
- 14. (Previously presented) A polymer dispersion as claimed in claim 1, where the pH of the aqueous medium for the polymerization is adjusted to 6 to 8.
- (Previously presented) A polymer dispersion as claimed in claim 1, which has an LT (light transmittance value) of at most 30%.
- (Previously presented) A polymer P) obtainable by drying a polymer dispersion Pd), as defined in claim 1.

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17. (Withdrawn) A cosmetic or pharmaceutical composition comprising

 A) at least one polymer dispersion Pd), as defined in claim 1, or a polymer P), as defined in claim 16, and

B) at least one cosmetically acceptable carrier,

18. (Withdrawn) A composition as claimed in claim 17, where component B) is chosen from

i) water.

water-miscible organic solvents, preferably C<sub>1</sub>-C<sub>4</sub>-alkanols.

iii) oils, fats, waxes,

 esters of C<sub>6</sub>-C<sub>30</sub>-monocarboxylic acids with mono-, di- or trihydric alcohols which are different from iii).

v) saturated acyclic and cyclic hydrocarbons,

vi) fatty acids.

vii) fatty alcohols

and mixtures thereof.

19. (Withdrawn) A composition as claimed in claim 17, further comprising at least one constituent different from component A) which is chosen from cosmetically active ingredients, emulsifiers, surfactants, preservatives, perfume oils, thickeners, hair polymers, hair and skin conditioners, graft polymers, water-soluble or dispersible silicone-containing polymers, light protection agents, bleaches, gel formers, care agents, colorants, tinting agents, tanning agents, dyes, pigments, consistency-imparting agents, humectants, refatting agents, collagen, protein hydrolyzates, lipids, antioxidants, antifoams, antistats, emollients and softeners.

 (Withdrawn) A composition as claimed in claim 17 in the form of a gel, foam, spray, ointment, cream, emulsion, suspension, lotion, milk or paste.

 (Withdrawn) The use of a polymer dispersion as defined in claim 1, or of a polymer as defined in claim 16 in skin-cleansing compositions, compositions for the care and Application No. 10/564,485 Docket No.: 13111-00029-US1

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protection of the skin, nailcare compositions, preparations for decorative cosmetics and hair-treatment compositions.

- (Withdrawn) The use as claimed in claim 21 in hair-treatment compositions as setting agents and/or as conditioners.
- (Withdrawn) The use as claimed in claim 22, where the composition is in the form of a hair gel, shampoo, setting foam, hair tonic, hairspray or hair mousse.
- 24. (Withdrawn) The use of a polymer dispersion as defined in claim 1 or of a polymer as defined in claim 16 as auxiliary in pharmacy, preferably as or in (a) coating(s) for solid drug forms, for modifying rheological properties, as surface-active compound, and as or in (a) coating(s) for the textile, paper, printing and leather industries.